

**INFORMATIVE DOCUMENT PURSUANT TO ART. 13 LEGISLATIVE DECREE 196 OF JUNE 30 2003
"PROTECTION OF PERSONS AND OTHERS WITH RESPECT TO THE PROCESSING OF PERSONAL DATA"**

The Code on the protection of personal data, adopted with the Legislative Decree no. 196 of June 30th, 2003 as subsequently amended, (hereinafter indicated also as the "Code" or the "Law"), obliges the persons defined as "Data controllers" for the processing of personal data, pursuant to art. 4 of the same Law, to provide all Data Subjects with precise information on the processing, if any, of their personal data.

As far as Law enforcement is concerned, pursuant to Section 5, the Code shall not apply to the processing of personal data relating to legal persons, companies, bodies or associations.

MTS S.p.A. ("MTS"), in his capacity as Data controller for the processing of personal data, intends to give details regarding the information requested on the processing of the relevant data, as regulated by the Code (hereinafter the "Data").

MTS belongs to London Stock Exchange Group (hereinafter, LSE Group" or "LSEG"), consisted of London Stock Exchange Group plc (Holding with registered office in London - UK) and its direct and indirect controlled companies, and is acting under the direction and coordination of London Stock Exchange Group Holdings (Italy) – Italian Branch (hereinafter also "LSGH(I) – Italian Branch"), along with the other Italian companies of the same Group: BI Market Services S.p.A., Borsa Italiana S.p.A., Cassa di Compensazione e Garanzia S.p.A., Monte Titoli S.p.A. and PRO MAC S.p.A..

The Data gathered directly by the Data subject or by a third party or otherwise acquired by the companies of the LSE Group within their business could be processed in compliance with the above Law and with the confidentiality duties.

1. PURPOSES FOR WHICH DATA IS USED

MTS gathers and processes personal data of Data subjects for the following purposes:

- a) to gather preliminary or subsequent information to the conclusion of an agreement, including the economic and financial rating of connected risks or of other juridical relationships; to fulfil obligations deriving from contractual relationship with the companies of the LSEG; to fulfil the correct conduct of contractual relationships and relevant obligations; to manage finance and accounting administration data, pursuant to Section 34, par. 1-ter, of the Code; to manage correspondence, also via fax and via e-mail, as well as to fulfil activities strictly connected to the compliance of reciprocal obligations;
- b) to comply with obligations set forth by laws, by regulations, by EU laws or to comply with requirements of the Stock Exchange supervisory bodies or as laid down by administrative practice;
- c) to perform functional purposes connected to the activities carried out by the Companies of LSEG, such as – by way of an example - the performance of:
 - customer surveys, carried out by means of personal or telephone interviews, questionnaires, etc., regarding the quality of the services rendered;

- market research surveys and studies;
- informative, statistical or scientific activities;
- marketing, promotion and sale of products and services of LSEG, also using Data subject's name for publicity;
- public relations activities, carried out by any means.

It is not obligatory to provide personal data for the purposes referred to in letter c) and failure to provide them does not involve any consequence whatsoever. However, failure to provide them would make it impossible for MTS and the other companies of LSE GROUP to keep Data Subjects up-to-date on events, conventions, promotion of new products and services or to provide the same with publications and with other informative material.

Pursuant to Section 130, paragraph *3-bis* of the Code, as amended by law nr. 106/2011, processing by telephone and mail of the data for the purpose of sending advertising materials or direct selling or else for the performance of market or commercial communication surveys, by using subscribers' personal data as contained in publicly available paper or electronic directories, shall be allowed without data subject consent, in respect of any entities that have not exercised their right to object, by having the respective telephone numbers and other personal data entered in a public opt-out register

Data is made available only to the personnel who needs it for the activities and tasks carried out, by way of example without limitation, within LSE Group, such as: external relations and commercial officers, accountants, secretaries, managers and officers of the LSE Group. These persons, whose number will be limited to the minimum required, process the data in their capacity as "Persons in charge of the Processing"; they are specially appointed for such purpose and are duly instructed in order to avoid loss, destruction, unauthorized access or forbidden processing of such data.

MTS with registered office in Rome, via Tomacelli, 146, cap 00186, is Data Controller.

Data Processor for MTS is Massimo Zirulia who, for these purposes, is domiciled at the above mentioned company's registered office. He has the task to answer to all queries connected to the processing of personal data and he can also make available the updated list of all other internal Data Processors, as well as the external ones.

2. COMMUNICATION OF DATA TO THIRD PARTIES.

In addition to communicating personal data of Data Subjects inside the LSE Group, MTS may communicate personal data to:

- a) supervisory bodies, or to other designated parties, in accordance with their own requirements or set forth by local or EU laws, by regulations and by administrative procedures;
- b) third parties that support MTS for the purposes mentioned in point 1, letter a) namely:
 - banks and financial companies, professionals, external service companies, including persons in charge of debit collection;
 - companies that print, pack and deliver communications to Data Subjects;
 - companies that provide technological services and/or data processing systems.

Names and address of these companies will be made available at the request of Data Subjects.

- c) third parties carrying out support activities connected with the purposes referred to in point 1. letter c) , such as, by way of an example:
 - third parties that carry out customer surveys regarding the quality of the services rendered;
 - third parties that carry out information systems, statistical and scientific activities;

- third parties that promote and/or sell products and services;
- third parties that carry out market research and surveys;
- third parties that carry out public relations activities;
- companies or entities that manage Italian or foreign markets with whom companies of LSE Group may enter into alliances and/or co-operate with, and also third parties that support such entities or companies.

Being understood what referred to in point 1., letter c), paragraph 3, consent for the purposes stated in letter c) is optional and failure to provide it does not involve any consequence whatsoever. However, failure to provide it would make it impossible for MTS and for the other companies of LSE Group to keep Data Subjects up-to-date on events, conventions, promotion of new products and services or to provide the same with publications and with other informative material.

3. TRANSFER OF PERSONAL DATA ABROAD.

Personal data of Data Subjects may be transferred abroad, even outside the European Community, to the same parties mentioned under paragraph 2 and for the same purposes stated in point 1 above, either by or without electronic or alternatively automated means.

Granting consent for transferring personal data abroad is optional for the purposes specified under paragraph 1(c) above and failure to provide it does not involve any consequence whatsoever. However, failure to provide it would make it impossible for MTS and for the other companies of LSE Group to keep Data Subjects up-to-date on events, conventions, promotion of new products and services or to provide the same with publications and with other informative material.

4. METHOD OF PROCESSING DATA

MTS processes personal data of Data Subjects in a lawful and professional manner, so as to ensure the confidentiality and security of the information. The processing of personal data - which shall mean any operation provided for in the definitions under Section 4, letter a) of the Code - is carried out by manual, electronic or computerized means with organizational methods and logic strictly related to the purposes mentioned above.

Furthermore, in view of the fact that MTS belongs to the LSE Group, personal data of Data Subject may be held on information devices at the LSE plc and at its controlled or associated companies, in compliance with the above conditions. Data is kept for no longer than is necessary for the purpose for which they were collected or subsequently processed, in compliance with general principles and with provisions issued by the "Garante". Pursuant to Section 24, letter *i-ter* no consent is required for processing data, if the processing concerns communication or exchanging of data among companies belonging to the same Group (parent, subsidiary and/or related companies, pursuant to Section 2359 of the Civil Code, or former and jointly controlled companies), or among consortiums, corporate networks and/or corporate joint ventures, for the following administrative and accounting purposes: in-house organizational activities; activities aimed at fulfilling contractual and precontractual obligations, managing employer-employee relationships, keeping accounting records, and implementing the legislation on taxation, trade unions, social security and welfare, health and safety on the job (see Section 34, letter 1-ter hereof),

5. RIGHTS OF DATA SUBJECTS.

In compliance with the Law, Data Subjects are entitled to the rights mentioned in art. 7 of the same Law. Besides, in compliance with the said Section, Data Subjects may ask for the access to their

personal data, obtain a copy of the data processed, ask for their updating, rectification, integration, erasure or termination, where conditions occur or may object, on legitimate grounds, to the processing of personal data concerning them.

Data Subjects may assert their rights by writing to MTS S.p.A., via Tomacelli, 146, 00186 Rome, for the attention of the Data Controller or Data Processor.

The informative document has been updated on January, 2012

AUTHORIZATION TO PROCESS PERSONAL DATA – COMMUNICATION PURSUANT TO ART. 13 OF LEGISLATIVE DECREE 196/2003

The Data Subject declares that it has examined the Information Document regarding the processing of personal data pursuant to the legislative decree No. 196 of 30 June 2003, as subsequently amended, posted on the MTS's website, <http://www.mtsmarkets.com/privacy> (the "**Information Document**"), as well as the rights mentioned under Art. 7 of same legislative decree. Consequently, the Data Subject:

grants its consent,

denies its consent,

- to the processing of its personal data for the purposes specified under par. 1(c) of the Information Document, such as, by way of an example: customer satisfaction surveys, carried out through interviews or questionnaires; direct marketing; informative, statistical and scientific activities; promotion and sale of LSEG's products and services when consent is required by current regulations; studies and market research; public relations, etc.
- to the disclosure of personal data, including in accordance with par. 2 (c) of the Information Document, within and outside the London Stock Exchange Group, for the same purposes specified in the Information Document;
- to transfer of the personal data outside of the European Economic Area, explicitly pursuant to Section 43, letter a) of legislative decree 196/2003, to the same parties mentioned under par. 2 and for the same purposes specified under par. 1(c).